

REQUEST FOR STATEMENT OF QUALIFICATIONS FROM TRUSTEE



**Arkansas Development Finance Authority
423 Main Street, Suite 500
Little Rock, AR 72201
(501) 682-5900
rfp@adfa.state.ar.us**

An updated statement of qualifications should be maintained on file at ADFA containing the following information:

1. **General Information Regarding the Firm.** Please provide the following information about your firm:
 - a. A list of professional employees employed by your firm.
 - b. A list of employees currently engaged in securities transactions.
 - c. A list of employees currently engaged in municipal bond transactions.
 - d. A list of employees engaged in other activities which will contribute to your firm's ability to serve as trustee.
2. **Experience as Trustee for Tax-Exempt Municipal Securities.** Provide a representative list of recent (last one to two years) state or local transactions on which your firm served as trustee, which includes the following:
 - a. Name of issuer
 - b. Size and title of issue
 - c. Date of issue
 - d. Purpose or use of proceeds
 - e. Your firm's engagement team
3. **Trust Officer and Personnel.** Provide a summary of the background, qualifications and experience of the professionals in your firm who are involved in trust administration and operations and who you expect may have involvement in ADFA-related activities.
4. **Client References.** Provide between three and five client references for whom you provided services which you feel represent a financing program that bears some similarity to the programs being undertaken by ADFA in which you expect to participate. Furnish name, title, agency and telephone number for these references.